

Appl. No.: 09/809,584
Amdt. dated April 27, 2005
Reply to Office Action of January 13, 2005

REMARKS

Claim Status

Claims 1-27 remain pending.

Objections to the Specification

The examiner objected to the specification as containing embedded hyperlinks. Applicants have accordingly amended the specification to split up the hyperlink code into non-executable parts.

Rejections under 35 USC § 103

Claims 1-15 stand rejected under 35 USC § 103(a) as being unpatentable over http://web.archive.org/web/*/<http://www.datek.com> 1996 & 1997 ("Datek") in view of Mutual Fund Newsletter, Volume 1, April 1, 2000 ("Newsletter"). Claims 16-27 stand rejected under 35 USC § 103(a) as being unpatentable over Datek in view of U.S. Patent No. 6,226,655 ("Barman"). Applicants respectfully traverse these rejections because the cited art fails to teach or suggest all the claim limitations as required for a rejection of this type. *See MPEP § 2142 ("[T]he prior art reference (or references when combined) must teach or suggest all the claim limitations.")*.

For example, independent claim 1 recites in part "retrieving from a service provider information regarding a specific security, wherein the information includes a representation of a link to an online brokerage service". The examiner generally cites the whole of Datek as teaching these limitations. Applicants note that on page 7, Datek promises "access to unlimited real time Nasdaq, NYSE and AMEX quotes, graphs, charts and news for three weeks". On page 14, Datek indicates that they offer trading for "any New York Stock Exchange (NYSE), Nasdaq, or American Stock Exchange (Amex) stock." However, neither here nor elsewhere does the cited art offer any teaching or suggestion of security-specific information that includes a representation of a link to an online brokerage service. For at least this reason, independent claim 1 and its dependent claims 2-15 are allowable over the cited art.

Independent claim 16 recites in part "a third-party computer ... providing HTML (hypertext markup language) documents having the investment information and ... a URL (uniform resource locator) address of order software on the brokerage computer". The examiner generally cites the whole of Datek as teaching these limitations. Having read the cited art several times,

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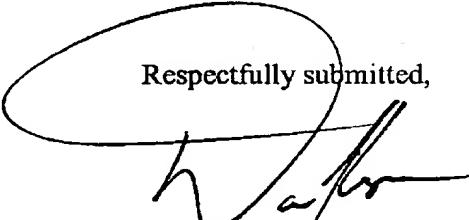
applicants are still unable to find any teaching or suggestion of a URL for order software, any such a URL in an HTML document with investment information, or any such document being provided by a third party computer. For at least these reasons, independent claim 16 and its dependent claims 17-27 are allowable over the cited art.

Conclusion

In the course of the foregoing discussions, applicant may have at times referred to claim limitations in shorthand fashion, or may have focused on a particular claim element. This discussion should not be interpreted to mean that the other limitations can be ignored or dismissed. The claims must be viewed as a whole, and each limitation of the claims must be considered when determining the patentability of the claims. Moreover, it should be understood that there are other distinctions between the claims and the prior art which have yet to be raised, but which may be raised in the future.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley Rose, P.C. Deposit Account Number 03-2769/1991-00200/HDJK.

Respectfully submitted,



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